Performance Predictions II LLC

Disclosure Document
(CFTC Regulation 1.55)

June 16, 2026

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1. Introduction

As a futures commission merchant ("**FCM**") registered with the Commodity Futures Trading Commission ("**CFTC**") and National Futures Association (the "**NFA**"), Performance Predictions II LLC ("the Firm"), must provide certain information to a customer before opening an account for that customer. This document provides that information. The most up-to-date version of this information can be found here. A paper copy of this document is available upon request from the address listed below in Section 2.

Except as otherwise noted, the financial information set forth below is as of April 30, 2026. Financial information will be updated annually and as necessary to take into account any material change to the Firm's business operations, financial condition, or other factors that may be material to a client's decision to do business with the Firm. Please note that the Firm's business activities and financial data are not static and may change throughout any 12-month period.

2. The Firm's Address and Contact Information (CFTC Regulation 1.55(k)(1))

The Firm's principal place of business address and contact information are provided below.

Address:
Performance Predictions II LLC
1055 Howell Mill Road
Atlanta, GA 30318

Phone number: 470-936-7460
Website: www.prizepicks.com
Email: support@prizepicks.com

3. Principals of the Firm (CFTC Regulation 1.55(k)(2))

Provided below are the Firm's principals and their business backgrounds.

Michael Ybarra
Chief Executive Officer
1055 Howell Mill Road, Atlanta, GA 30318
Duties: As Chief Executive Officer, Mr. Ybarra is responsible for the overall strategic direction, management, and operational leadership of the Firm. His duties include overseeing the execution of the Firm's business objectives.
Background: Mr. Ybarra brings more than 25 years of leadership and operational experience in technology and gaming at large, public companies.

Marcus Sanford
Chief Financial Officer
1055 Howell Mill Road, Atlanta, GA 30318
Duties: As Chief Financial Officer, Mr. Sanford is responsible for the Firm’s financial management, including treasury functions, capital planning, and financial reporting. His duties include ensuring compliance with CFTC net capital requirements and overseeing the preparation of financial statements.

Background: Mr. Sanford is a seasoned executive with more than 20 years of strategic planning, accounting, treasury, financial management and operational experience.

Jason Barclay
Chief Legal Officer
1055 Howell Mill Road, Atlanta, GA 30318
Duties: As Chief Legal Officer, Mr. Barclay is responsible for the Firm's legal affairs and corporate governance. His duties include advising senior management on legal and regulatory risks, managing litigation and regulatory inquiries, and overseeing the Firm's legal strategies and contractual obligations.
Background: Mr. Barclay's background includes legal leadership roles in the private sector, coupled with prior experience as legal counsel to state governors and service on various regulatory boards and commissions.

Dylan Cooper
Senior Vice President, Product Operations
1055 Howell Mill Road, Atlanta, GA 30318
Duties: As Senior Vice President of Product Operations, Mr. Cooper is responsible for the development and operation of the Firm's trading platform and product offerings. His duties include overseeing the product lifecycle, managing platform functionality, and coordinating with technology teams to ensure the integrity of event contract operations.
Background: Mr. Cooper has held senior leadership roles overseeing product, revenue, and prior experience in game operations, trading, and risk management.

Nicholas Schendl
Chief Compliance Officer
1055 Howell Mill Road, Atlanta, GA 30318
Duties: As Chief Compliance Officer, Mr. Schendl is responsible for establishing and administering the Firm's compliance program. His duties include ensuring compliance with the Commodity Exchange Act and CFTC regulations, developing written policies and procedures, handling remediation of noncompliance issues, and preparing the Annual CCO Report pursuant to CFTC Regulation 3.3.
Background: Mr. Schendl brings over 10 years of compliance and regulatory experience from his time at the National Futures Association and Securities Exchange Commission.

David Adam Wexler
Substantial Owner and Co-Founder
Duties: Mr. Wexler Serves as a Member of the Board of Managers for SidePrize Holding LLC, an affiliate of the Firm. His duties include governance and strategic oversight.
Background: As the founder and former CEO of PrizePicks, Mr. Wexler led the company's growth into a premier North American daily fantasy sports operator from 2015 to 2024. His career also includes extensive leadership within the Fantasy Sports & Gaming Association and a track record of scaling successful technology ventures.

Karel Komárek
Substantial/Beneficial Owner
Duties: Mr. Komárek exercises no operational control over the Firm's commodities business or customer funds.

Business Background: Mr. Komárek is an entrepreneur, investor, and philanthropist with a thirty-year track record of building successful businesses in entertainment, energy, real estate, and technology.

Igor Rusek
Indirect Owner
Duties: Dr. Rusek exercises no operational control over the Firm's commodities business or customer funds.
Business Background: Dr. Rusek is an internationally recognized attorney with advanced legal and tax expertise, extensive global experience, and a long history of advising private clients and companies on complex legal, tax, and estate matters.

4. Business Activities (CFTC Regulation 1.55(k)(3))

The Firm is a registered FCM that provides execution, clearing, and settlement services for event contracts, which are regulated as cleared swaps. The Firm does not provide services with respect to daily fantasy sports. All such services are provided by SidePrize LLC d/b/a PrizePicks.

All trading in a Firm account is self-directed by the customer and is limited to event contracts. The Firm does not provide investment advice, including but not limited to investment, trading, or tax advice to clients. Trades are entered by the client on a personal mobile device or computer and transmitted to the Firm for execution on a Kalshi exchange.

The Firm is regulated by the CFTC and NFA and is subject to the rules of KalshiEx and Kalshi Klear. The Firm is a clearing member of Kalshi Klear and a member of KalshiEx LLC, which are registered with the CFTC as a derivatives clearing organization ("**DCO**") and a designated contract market ("**DCM**") respectively. The Firm holds settlement accounts to self-clear customer event contract transactions, which are regulated as cleared swaps. The Firm maintains sufficient capital and excess regulatory capital to support the cleared swap business.

The Firm is a subsidiary of SidePrize LLC (the "**Parent**"). This document focuses on the activities of the Firm and not the Parent or its affiliates.The Firm is a subsidiary of SidePrize LLC (the "**Parent**"). Additionally, the Firm is an affiliate of Performance Predictions III LLC (the "**Affiliated Market Maker**"), which is registered, designated, or otherwise acts as a market maker, designated market maker, or liquidity provider on one or more DCMs on which the Firm executes or brokers event contracts for customers, including in the same contracts and the same markets in which the Firm executes customer orders. The Affiliated Market Maker may be the direct or indirect counterparty to, or take the opposite side of, customer orders and may receive preferential terms, benefits, or accommodations (such as reduced or rebated fees, volume or rebate programs, and enhanced market data, connectivity, or order entry access) that are not available to the Firm's customers. Customers consent to these arrangements under Section 11(q) of the FCM Customer Agreement, and the related conflicts of interest are further described in Section 6 (Conflict of Interest Disclosure) of the Firm's Event Contract Risks Disclosure Document and in Section 6 below. This document focuses on the activities of the Firm and not the Parent or its affiliates.

Activity/Product Line	Percentage of Assets	Percentage of Capital
Business Line		
As of April 30, 2026		
Cleared Swap/Event Contracts	100%	100%

5. Customers and Policies and Procedures Regarding Certain Financial Activity (CFTC Regulation 1.55(k)(4))

Business* on *Behalf* of *Customers and Types* of *Customers

On behalf of its customers, the Firm engages in the following business activities related to event contracts: execution; clearing; and settlement. The event contracts for which the Firm provides services are executed on KalshiEx, cleared through Kalshi Klear, and are regulated as cleared swaps.

The Firm's customers are mostly natural persons. The Firm provides services to U.S. persons and in U.S. markets – the Firm does not conduct business internationally.

Policies and Procedures Concerning the Choice of Bank Depositories, Custodians, and Counterparties to Permitted Transactions

The Firm selects depositories based on criteria requiring them to be U.S.-based banks or trust companies with specific financial strength and creditworthiness standards, critically needing their agreement to sign CFTC acknowledgment letters, with Finance and Risk Management conducting initial due diligence and various reviews covering financial health and compliance.

6. Material Risks (CFTC Regulation 1.55(k)(5))

Provided below are material risks of entrusting funds to the Firm, which are accompanied by explanations of how such risks may be material to customers.

Nature of Investments Made by the Firm

The Firm does not invest FCM customer segregated funds.

The Firm's Significant Liabilities, Contingent or Otherwise, and Material Commitments

The Firm does not have any significant liabilities, contingent or otherwise, nor does it have any material commitments.

Customer Creditworthiness

Trading in traditional futures contracts can expose an FCM's customer to risks associated with the default of any of its other customers ("**Fellow Customer Risk**"). That risk is materially mitigated when transacting through the Firm. Specifically, the only type of contract

that the Firm provides services with respect is event contracts. Event contracts are structured as binary options that require the full payment of the amount due under the contract at execution of transaction. Event contracts are not marked-to-market and do not require customers to post collateral or make any payments with respect to the settlement of the contract or a transaction. As such, any customer's Fellow Customer Risk is effectively mitigated.

Operational Risk

The Firm's business and a customer's ability to enter into event contract transactions is dependent on electronic trading software and platforms developed and maintained by the Firm and third parties. Any failure of that software or platform could materially limit a customer's ability to enter into new event contracts or offset existing positions. As the Firm offers 24 hour trading 7 days a week, these risks are heightened during non-traditional trading hours (nights, weekends, holidays). In addition, any failure of that software or platforms could affect the Firm's ability to accurately track individual customers' event contract positions and their performance, potentially hindering the Firm's ability to pay money due to its customers on a timely and accurate basis.

Additionally, various forms of state regulatory actions and pending and potential litigation concerning the legality of sports-related event contracts listed on DCMs may impact the availability of sports-related event contracts in particular states or jurisdictions. Specifically, such contracts may not be available in a particular state or jurisdiction or may cease to be available. Note that the CFTC has not, to date, been requested to take or taken any official action to approve the listing for trading of sports-related event contracts on any DCM pursuant to the Commodity Exchange Act ("**CEA**") or CFTC regulations. All sports-related event contracts that are currently listed for trading on DCMs have been listed pursuant to self-certifications filed by the relevant DCM under the CEA and CFTC regulations, and the CFTC has not, to date, made a determination regarding whether any such contracts involve an activity prohibited under the CEA or CFTC regulations. Should a customer's open position cease to be available in a particular state or jurisdiction, such position may be liquidated in the Firm's sole discretion as described in the FCM Customer Agreement.

Counterparty Risk

In the normal course of business, the Firm enters various transactions with banks and other financial institutions. The Firm is subject to credit risk to the extent any financial institution with which it conducts business is unable to fulfill contractual obligations on its behalf. Management monitors the financial condition of such financial institutions and does not anticipate any losses from these counterparties.

Market Risk

As an FCM, the Firm has limited exposure to market risk. When the Firm acts as a broker on behalf of customers, it is only subject to market risk if it executes customers' transactions in error. In this regard, operational problems can expose the Firm to market fluctuations in event contract values.

Liquidity Risk

The Firm measures liquidity risk as the ability for an entity to efficiently meet both expected and unexpected current and future cash flow needs without adversely affecting daily operations or the financial condition of the entity. Given that the Firm's customer must fully fund their event contract purchases at the time of execution of a transaction and that the resulting positions are not subject to margin requirements, the Firm's liquidity risk is limited. However, the Firm still maintains liquidity reserves in the form of cash to fund activity and for contingency stress events.

Capital Requirements

The Firm is a privately held entity and as such, does not hold a formal credit rating with a rating agency. The Firm's ultimate parent company, SidePrize LLC d/b/a PrizePicks, is also a privately held entity and as such, does not hold a formal credit rating with a rating agency.

The Firm is registered as an FCM and as such, is required to maintain capital sufficient to meet its regulatory obligations at all times, including nights, weekends, and holidays, as the Firm offers 24 hour trading, 7 days a week. Capital levels are reported monthly to its regulator, and if any deficiencies in capital occur, reporting such a deficiency must take place immediately. The Firm has maintained sufficient regulatory capital, which was $15,100,646 as of April 30, 2026, which was $14,100,646 more than regulatory requirements.

As of April 30, 2026, the Firm's Leverage Ratio was 1.00. Leverage Ratio is a measure of the Firm's adjusted assets compared to equity as defined by NFA Financial Requirements Section 16. Higher ratios indicate more leverage. Leverage Ratios are also required to be reported to regulators.

The Firm's principal liabilities to its futures and brokerage customers can be found in the financial statements of the Firm dated April 30, 2026, the link to which is located within this Disclosure Document. The Firm does not maintain any other lines of business beyond its FCM activities.

The Firm's Interaction with the Business of Its Affiliates and Financial Condition

The Firm does engage in a number of activities with certain of its affiliates but does not believe that any such activities present a material risk to its customers. For example, the Firm utilizes certain shared services from its affiliates. The Firm does not invest customer funds in any affiliated entities. However, the Firm, in accordance with and pursuant to Section 3 of the FCM Customer Agreement, may transfer funds between a customer's Event Contract Account and the customer's PrizePicks Account. For additional information regarding the risks associated with Combination Trades involving both event contract transactions and daily fantasy sports positions, please see Section 3.6 (Combination Trade Risk) of the Firm’s Event Contract Risks Disclosure Document.

Note that Allwyn International AG ("**Allwyn**") owns a 62.29% stake in the Parent as of January 2026 (the "**Transaction**").

While the Transaction may enhance the overall financial strength and resources available to the Firm, the Transaction includes performance-contingent payments from Allwyn to the Parent based on 2026-2028 performance metrics, which may create incentives for

operational changes. The Firm has maintained its policy of not investing customer funds in any affiliated entities. The integration process associated with the Transaction may present certain operational changes, including potential updates to systems, processes, or personnel. However, the Parent will continue to operate as a standalone brand within Allwyn, with the existing leadership team retaining the majority of their existing ownership interest in the Parent. Accordingly, the Transaction is expected to have minimal impact on the Firm.

Affiliated Market Maker; Conflicts of Interest

The Affiliated Market Maker acts as a market maker, designated market maker, or liquidity provider on one or more DCMs on which the Firm executes or brokers event contracts for customers, including in the same contracts and the same markets in which the Firm executes customer orders. As a result: (i) the Affiliated Market Maker may quote, bid, offer, or otherwise be active in those markets contemporaneously with the Firm's execution of customer orders and the Affiliated Market Maker may be the direct or indirect counterparty to, or take the opposite side of, such orders; and (ii) the Affiliated Market Maker may receive preferential terms, benefits, or accommodations from a DCM, the Firm, or another affiliate that are not available to the Firm's customers. These arrangements may give the Firm an economic incentive to direct or facilitate order flow, or to structure its services, in a manner that benefits the Affiliated Market Maker, and may cause the results of customer transactions to differ significantly from those achieved by the Affiliated Market Maker. Customers consent to these arrangements under Section 11(q) of the FCM Customer Agreement, and additional information is set forth in Section 6 of the Firm's Event Contract Risks Disclosure Document.

7. Self-Regulatory Authority Information and FCM Financial Statements (CFTC Regulation 1.55(k)(6))

Designated Self-Regulatory Organization – Cleared Swaps

The Firm's self-regulatory organization is the NFA, and its website is https://www.nfa.futures.org/.

Annual Audited Financial Statements

The Firm's annual audited financial statements along with other relevant information can be found at the following website: https://www.prizepicks.com/predict-regulatory.

8. Material Complaints and Actions (CFTC Regulation 1.55(k)(7))

The Firm is required to disclose any material administrative, civil, enforcement, or criminal complaints or actions filed against it where such complaints or actions have not concluded as well as any enforcement complaints or actions filed against FCM during the last 3 years.

This information is disclosed, and can be accessed, in the following:

(i) The Firm's Annual Audited Financial Statements, specifically in the Litigation and Claims section, which is available on its website at https://www.prizepicks.com/predict-regulatory.

(ii) NFA BASIC at https://www.nfa.futures.org/basicnet/, by viewing any listed regulatory actions by searching for the Firm.

9. Overview of Fund Segregation and Collateral Management (CFTC Regulation 1.55(k)(8))

The following is a basic overview of customer fund segregation, FCM collateral management and investments, and FCMs generally with respect to event contracts and cleared swaps.

Customer Accounts

FCMs may maintain up to three diverse types of accounts for customers, depending on the products a customer trades, which are as follows:

- Customer Segregated Account (under CFTC Regulation 1.20) for customers that trade futures and options on futures listed on U.S. futures exchanges;
- Customer Secured Account (under CFTC Regulation 30.7) ("**30.7 Account**") for customers that trade futures and options on futures listed on foreign boards of trade; and
- Cleared Swaps Customer Account for customers trading swaps that are cleared on a DCO registered with the CFTC.

The requirement to maintain these separate accounts relates to the different risks posed by the various products. Cash, securities, and other collateral required to be held in one type of account *(e.g.,* the Customer Segregated Account) may not be commingled with funds required to be held in another type of account *(e.g.,* the 30.7 Account), except as the CFTC may permit by order.

Cleared Swaps Customer Account

As an FCM that only provides customer services with respect to event contracts/cleared swaps, the Firm only maintains a Cleared Swaps Customer Account. Funds deposited with an FCM, or otherwise required to be held for the benefit of customers, to margin swaps cleared through a registered DCO *(i.e.,* Cleared Swaps Customer Collateral) are held in a Cleared Swaps Customer Account in accordance with Commodity Exchange Act ("**CEA**") Section 4d(f) and Part 22 of the CFTC Regulations.

Cleared Swaps Customer Accounts are sometimes referred to as LSOC Accounts. LSOC stands for "legally separated, operationally commingled." Funds required to be held in a Cleared Swaps Customer Account may be commingled in an omnibus account and held with:

(i) a bank or trust company located in the U.S.; (ii) a bank or trust company located outside of the U.S. that has in excess of $1 billion of regulatory capital; (iii) a DCO; or (iv) another FCM. Such a commingled account must be properly titled to make clear that the funds belong to, and are being held for the benefit of, the FCM's Cleared Swaps Customers.

Investment of Customer Funds

CEA Section 4d(f) authorizes FCMs to invest Cleared Swaps Customer Collateral in obligations of the United States, in general obligations of any state or of any political

subdivision thereof, and in obligations fully guaranteed as to principal and interest by the United States. CFTC Regulation 1.25 authorizes FCMs to invest Cleared Swaps Customer Collateral in instruments of a similar nature. FCMs can retain all gains earned and are responsible for investment losses incurred in connection with the investment of customer funds. However, an FCM and customer may agree that the FCM will pay the customer interest on the funds deposited. The duration of the securities in which an FCM invests customer funds cannot exceed, on average, 2 years.

Nature of the Firm's Investments

As an FCM, the Firm is subject to capital, liquidity, and other requirements designed to ensure that it is creditworthy and has sufficient financial resources to conduct its business activities.

The nature of the Firm's investments can be found on the NFA's BASIC system at https://www.nfa.futures.org/BasicNet/basic-search-landing.aspx by searching the Firm.

CFTC Regulations require the Firm to hold funds deposited to margin cleared swaps in a Cleared Swaps Customer Account. In computing its customer funds requirements under relevant CFTC Regulations, the Firm may only consider those customer funds actually held in the applicable customer accounts and may not apply free funds in an account under identical ownership but of a different classification or account type *(e.g.,* securities, Customer Segregated Account, 30.7 Account) to an account's margin deficiency. To be used for margin purposes, the funds must transfer to the identically owned under margined account.

For additional information on the protection of customer funds, please see the Futures Industry Associations "Protection of Customer Funds Frequently Asked Questions" by clicking the following link: https://www.fia.org/fia/articles/protection-customer-funds-frequently-asked-questions.

10. How to File a Complaint Against the Firm (CFTC Regulation 1.55(k)(9))

A customer who wishes to file a complaint with the CFTC or NFA about the Firm or its employees can do so in the following ways:

II. File a complaint with the CFTC by

calling 866-FON-CFTC (866-366-2382), or

submitting electronically at https://www.cftc.gov/Forms/tipsandcomplaints.html

III. File a complaint with the NFA by

calling 800-621-3570, or

submitting electronically at https://www.nfa.futures.org/complaintnet/fileacomplaint.aspx

11. Relevant Financial Data (CFTC Regulation 1.55(k)(10))

- Provided in the table below is the Firm's total equity, regulatory capital, and net worth, all computed in accordance with U.S. Generally Accepted

Accounting Principles and CFTC Regulation 1.17.

As of April 30, 2026	
Total Equity:	$23,360,303
Regulatory Capital:	$15,100,646
Net Worth:	$23,360,303

- FCMs are required to disclose their proprietary margin requirements as a percentage of the aggregate margin requirement for futures customers, Cleared Swaps Customers, and 30.7 Account customers.

  However, as of April 30, 2026, the Firm, in its capacity as an FCM, has no proprietary trading margin requirements.

- FCMs are required to disclose the smallest number of futures customers, Cleared Swaps Customers, and 30.7 Account customers that comprise 50% of the FCM's total funds held for futures customers, Cleared Swaps Customers, and 30.7 Account customers, respectively.

  As of April 30, 2026, those numbers are as follows:

  - For futures customers: 0 customers
  - For Cleared Swap Customers: 1160 customers
  - For 30.7 Account customers: 0 customers

- An FCM is required to disclose the aggregate notional value, by asset class, of all non-hedged, principal over-the-counter transactions into which it has entered.

  As of April 30, 2026, the Firm has not entered into over-the-counter transactions on a hedged or non-hedged basis.

- An FCM is required to disclose the amount, generic source, and purpose of any committed unsecured lines of credit (or similar short-term funding) it has obtained but not yet drawn upon.

  As of April 30, 2026, the Firm has no available line of credit.

- An FCM is required to disclose the aggregated amount of financing it provides for customer transactions involving illiquid financial products for which it is difficult to obtain timely and accurate prices.

  However, the Firm does not provide financing for customer transactions in any

capacity.

- An FCM is required to disclose the percentage of futures customer, Cleared Swaps Customer, and 30.7 Account customer receivable balances that it had to write-off as uncollectable during the past 12-month period, as compared to the current balance of funds held for futures customers, Cleared Swaps Customers, and 30.7 Account customers.

  During the 12-month period ending April 30, 2026, those percentages for the Firm are as follows:

  - For futures customers: 0%
  - For Cleared Swap Customers: 0%
  - For 30.7 Accounts customers: 0%

Additional financial information on all FCMs is also available on the CFTC's website at https://www.cftc.gov/MarketReports/financialfcmdata/index.htm.

Customers should note that the NFA publishes on its website certain financial information with respect to each FCM, including the three separate reports listed below for each FCM:

FCM Capital Report (updated monthly). This report provides the Firm's most recent month-end adjusted net capital, required net capital, and excess net capital. Information for a 12-month period is available.

Customer Segregated Funds Report (updated semi-monthly). This shows an FCM's: (i) total funds held in Customer Segregated Accounts; (ii) total funds required to be held in Customer Segregated Accounts; and (iii) excess segregated funds (*i.e.,* the FCM's Residual Interest). This also shows the percentage of Customer Segregated Funds that are held in cash and each of the permitted investments under CFTC Regulation 1.25. In addition, this report indicates whether the FCM held any Customer Segregated Funds during that month at a depository that is an affiliate of the FCM. Information for the previous 12-month period can be viewed.

FCM Customer Secured Amount Funds Report (30.7 Account Customer Fund) (updated semi-monthly). This report will be identical to the Customer Segregated Funds Report except that this will report the information with respect to customer secured amount funds (*i.e.*, 30.7 Account customer funds).

The above financial information reports can be found by conducting a search of an FCM's name in NFA's BASIC system (https://www.nfa.futures.org/basicnet/) and then clicking on "View Financial Information" on the FCM's BASIC Details webpage.

12. Summary of FCM's Risk Practices, Controls, and Procedures (CFTC Regulation 1.55(k)(11))

The Firm identifies and assesses various risks, including market, credit, liquidity, capital, and operational risks, mitigating these through strategies like maintaining capital reserves,

requiring full collateralization for customer positions, and conducting due diligence on third-party service providers. Risk is continuously monitored using internal controls such as automated trading limits and reconciliation of financial data, with results and exposures reported through daily and quarterly reports, and specific trigger events requiring immediate notification to regulators. The Firm also maintains capital contingency plans and third-party exit strategies to address unexpected events or failures, ensuring resilience and the ability to meet regulatory obligations.

13. Certain Financial and Account Statements (CFTC Regulation 1.55(o)(1)(i)-(iii), (v))

The following financial information about the Firm is publicly available on its website at www.prizepicks.com/predict-regulatory.

- The daily Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges for the most current 12-month period.
- The daily Statement of Secured Amounts and Funds Held in Separate Accounts for 30.7 Customers Pursuant to CFTC Regulation 30.7 for the most current 12-month period.
- The daily Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under CEA Section 4d(f) for the most current 12-month period.
- The Statement of Financial Condition, the Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges, the Statement of Secured Amounts and Funds Held in Separate Accounts for 30.7 Customers Pursuant to CFTC Regulation 30.7, the Statement of Cleared Swaps Customer Segregation Requirements and Funds in Cleared Swaps Customer Accounts Under CEA Section 4d(f), and all related footnotes to the above schedules that are part of the Firm's most current certified annual report pursuant CFTC Regulation 1.16.

14. Schedule of Capital Amounts (CFTC Regulation 1.55(o)(1)(iv))

A summary schedule of the Firm's adjusted net capital, net capital, and excess net capital, all computed in accordance with CFTC Regulation 1.17 and reflecting balances as of the month end for the 12 most recent months is available on the Firm's website at www.prizepicks.com/predict-regulatory.

15. FOCUS Report and Other Information (CFTC Regulation 1.55(o)(1)(vi))

The Statement of Segregation Requirements and Funds in Segregation for Customers Trading on U.S. Exchanges, the Statement of Secured Amounts and Funds Held in Separate Accounts for 30.7 Customers Pursuant to CFTC Regulation 30.7, and the Statement of Cleared Swaps Customer Accounts Under CEA Section 4d(f) that are part of the Firm's FOCUS Report for the most current 12-month period is available on the Firm's website at www.prizepicks.com/predict-regulatory.

16. NFA Website - FCM Financial Information (CFTC Regulation 1.55(o)(3))

Financial information regarding the Firm, including how it invests and holds customer funds, may be obtained from the NFA BASIC system, available at https://www.nfa.futures.org/basicnet/.

17. CFTC Website - Additional FCM Financial Information (CFTC Regulation 1.55(o)(4))

Additional financial information on all FCMs is available on the CFTC's website at https://www.cftc.gov/MarketReports/financialfcmdata/index.htm.